



## SUPPLIER DUE DILIGENCE FORM

<b>Name:</b>		<b>Contact Person:</b>		<b>Title:</b>
Address:		Phone number:		
		E-mail:		
		Website:		
Business type (e.g., corporation, sole proprietorship, partnership, LLC):				
Business registration number (if applicable):				
Date of establishment:			Tax ID number (if applicable):	
<b>Financial Information:</b>				
Annual revenue (last fiscal year):		Bank name:		
Bank references (including contact information):		Account number:		
		Contact person:		
<b>Legal and Compliance:</b>				
Business licenses and permits (include copies, if available):				
Any pending or past legal actions or lawsuits? (If yes, provide details): <input type="checkbox"/> Yes <input type="checkbox"/> No				
Compliance with environmental regulations (if applicable):				
Compliance with labour laws and regulations:				
Quality and certification:				
Have you reviewed and do you accept Redpath Purchase Order Terms and Conditions. <input type="checkbox"/> Yes <input type="checkbox"/> No				
ISO Certification (if applicable):				
Other relevant certifications (e.g., FDA, ISO 9001, ISO 14001):				

<b>Insurance Information:</b>	
General liability insurance (include coverage amount and expiration date):	
Product liability insurance (include coverage amount and expiration date):	
Worker's compensation insurance (include coverage amount and expiration date):	

<b>Supply Chain and Production:</b>
Description of production facilities:
Key suppliers (include names and contact information):
Production capacity and lead times:

<b>Ethical and Social Responsibility:</b>
Does the supplier have a Code of Conduct or Ethics Policy? (If yes, provide a copy): <input type="checkbox"/> Yes <input type="checkbox"/> No
Labour practices (e.g., child labor, forced labor, fair wages):
Environmental practices:
References:

<b>Anti-Slavery and Human Trafficking Compliance:</b>
Does the supplier have a formal policy and commitment to preventing slavery and human trafficking in its operations and supply chain? (If yes, provide a copy): <input type="checkbox"/> Yes <input type="checkbox"/> No

<b>Supplier's Supply Chain Transparency:</b>
Provide a list of the supplier's top-tier suppliers and a summary of the steps taken to assess and address slavery and human trafficking risks within the supply chain.

**Risk Assessment and Mitigation:**

Detail the supplier's risk assessment processes related to slavery and human trafficking, including the identification of high-risk areas, products, or services.

Describe the supplier's strategies and actions taken to mitigate slavery and human trafficking risks.

**Supplier Employee Practices:**

- Verify that the supplier pays fair and legal wages to its employees, including subcontracted labour, and does not employ forced or child labor.
- Confirm that the supplier provides safe and hygienic working conditions for all employees.
- Request information on the supplier's recruitment practices, ensuring that they do not engage in deceptive or coercive practices in hiring workers.

**Training and Awareness:**

- Inquire about the training programs provided to the supplier's employees and management regarding slavery and human trafficking prevention.

**Audit and Monitoring:**

- Discuss the supplier's auditing and monitoring mechanisms in place to assess compliance with anti-slavery policies and regulations.

**Incident Reporting:**

- Ensure that the supplier has a mechanism for reporting any incidents or concerns related to slavery and human trafficking within their operations or supply chain.

**Legal Compliance:**

- Request evidence of the supplier's compliance with all relevant anti-slavery and human trafficking laws and regulations applicable to their business operations.

**Certification and Third-Party Verification:**

- Inquire whether the supplier has obtained third-party certifications or undergone independent audits related to anti-slavery and human trafficking compliance.

**Supplier Representation and Warranty:**

- Include a clause where the supplier represents and warrants that they are in full compliance with all applicable anti-slavery and human trafficking laws and regulations.

**Consequences of Non-Compliance:**

- Specify the consequences of non-compliance with anti-slavery legislation, including potential contract termination or legal action.

**Due Diligence Continuity:**

- State that the supplier will be required to provide ongoing updates and evidence of compliance with anti-slavery laws during the course of the business relationship.

**Customer References (provide contact information for at least two current customers):**

Customer 1:

Customer 2:

Additional comments or information:

**Signature:**

**Supplier representative name:**

**Date:**

Please save and keep this form for further instructions.